

Autofocusing with the help of the **empirical Haar transform**

Przemysław Śliwiński and Krzysztof Berezowski

Institute of Computer Engineering, Control and Robotics
Wrocław University of Technology, POLAND

WASC 2012, Clermont-Ferrand, April 5-6th, 2012

Introduction

Presentation schedule

- Motivations and inspirations

Introduction

Presentation schedule

- Motivations and inspirations
- Model and formal assumptions

Introduction

Presentation schedule

- Motivations and inspirations
- Model and formal assumptions
- Generic algorithm and its properties

Introduction

Presentation schedule

- Motivations and inspirations
- Model and formal assumptions
- Generic algorithm and its properties
- AF criteria

Introduction

Presentation schedule

- Motivations and inspirations
- Model and formal assumptions
- Generic algorithm and its properties
- AF criteria
- Unbalanced Haar Transform and Single-Photon AF

Introduction

Presentation schedule

- Motivations and inspirations
- Model and formal assumptions
- Generic algorithm and its properties
- AF criteria
- Unbalanced Haar Transform and Single-Photon AF
- Experimental results and conclusions

Introduction

Motivations and inspirations

Problem

A proper and reliable focusing algorithm is a conditio sine qua non of a 'good image'. Not only from an aesthetic vantage point, but also in automated applications.

- We exploit a *plethora* of the 'off-the-shelf' theoretical results developed in various disciplines:

Introduction

Motivations and inspirations

Problem

A proper and reliable focusing algorithm is a conditio sine qua non of a 'good image'. Not only from an aesthetic vantage point, but also in automated applications.

- We exploit a *plethora* of the 'off-the-shelf' theoretical results developed in various disciplines:
 - *signal and image processing, image analysis, harmonic analysis, control theory, or*

Introduction

Motivations and inspirations

Problem

A proper and reliable focusing algorithm is a conditio sine qua non of a 'good image'. Not only from an aesthetic vantage point, but also in automated applications.

- We exploit a *plethora* of the 'off-the-shelf' theoretical results developed in various disciplines:
 - *signal and image processing, image analysis, harmonic analysis, control theory, or*
 - *information theory, probability theory and mathematical statistics, as well.*

Introduction

Alternatives

- **Stereo-vision**

Solution

Our algorithm works with standard matrix sensors & standard optics, and employs standard transforms and routines. . .

Introduction

Alternatives

- **Stereo-vision**
 - two sensors

Solution

Our algorithm works with standard matrix sensors & standard optics, and employs standard transforms and routines. . .

Introduction

Alternatives

- **Stereo-vision**
 - two sensors
 - two lenses, etc.

Solution

Our algorithm works with standard matrix sensors & standard optics, and employs standard transforms and routines. . .

Introduction

Alternatives

- **Stereo-vision**
 - two sensors
 - two lenses, etc.
- **Light-field cameras**

Solution

Our algorithm works with standard matrix sensors & standard optics, and employs standard transforms and routines. . .

Introduction

Alternatives

- **Stereo-vision**
 - two sensors
 - two lenses, etc.
- **Light-field cameras**
 - lack resolution/dynamic range

Solution

Our algorithm works with standard matrix sensors & standard optics, and employs standard transforms and routines. . .

Introduction

Alternatives

- **Stereo-vision**

- two sensors
- two lenses, etc.

- **Light-field cameras**

- lack resolution/dynamic range
- *computational photography* devices

Solution

Our algorithm works with standard matrix sensors & standard optics, and employs standard transforms and routines. . .

Introduction

Alternatives

- **Stereo-vision**

- two sensors
- two lenses, etc.

- **Light-field cameras**

- lack resolution/dynamic range
- *computational photography* devices

- **Femtosecond lasers**

Solution

Our algorithm works with standard matrix sensors & standard optics, and employs standard transforms and routines. . .

Introduction

Alternatives

- **Stereo-vision**
 - two sensors
 - two lenses, etc.
- **Light-field cameras**
 - lack resolution/dynamic range
 - *computational photography* devices
- **Femtosecond lasers**
 - comparatively slow (like line scanners)

Solution

Our algorithm works with standard matrix sensors & standard optics, and employs standard transforms and routines. . .

Introduction

Alternatives

- **Stereo-vision**

- two sensors
- two lenses, etc.

- **Light-field cameras**

- lack resolution/dynamic range
- *computational photography* devices

- **Femtosecond lasers**

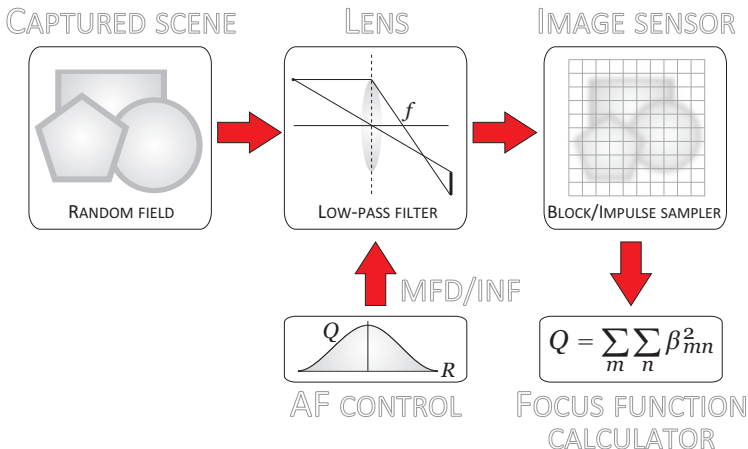
- comparatively slow (like line scanners)
- *computational photography* devices

Solution

Our algorithm works with standard matrix sensors & standard optics, and employs standard transforms and routines. . .

Problem statement

AF model



Generic AF algorithm steps

- 1 Compute the focus function (with optional:

Generic AF algorithm steps

- 1 Compute the focus function (with optional:
 - 1 denoising and

Generic AF algorithm steps

- 1 Compute the focus function (with optional:
 - 1 denoising and
 - 2 sensor output linearization).

Generic AF algorithm steps

- 1 Compute the focus function (with optional:
 - 1 denoising and
 - 2 sensor output linearization).
- 2 Shift the lens accordingly:

Generic AF algorithm steps

- 1 Compute the focus function (with optional:
 - 1 denoising and
 - 2 sensor output linearization).
- 2 Shift the lens accordingly:
 - 1 determine the direction

Generic AF algorithm steps

- 1 Compute the focus function (with optional:
 - 1 denoising and
 - 2 sensor output linearization).
- 2 Shift the lens accordingly:
 - 1 determine the direction
 - 2 set the step-size

Generic AF algorithm steps

- 1 Compute the focus function (with optional:
 - 1 denoising and
 - 2 sensor output linearization).
- 2 Shift the lens accordingly:
 - 1 determine the direction
 - 2 set the step-size
- 3 Make it **reliable** in *noisy environments*!

Problem statement

Assumptions

- 1 The **scene** is a *2D homogenous second-order stationary process* (thus an *ergodic (in the wide sense) random field*) with unknown distribution and unknown correlation function.

Problem statement

Assumptions

- 1 The **scene** is a *2D homogenous second-order stationary process* (thus an *ergodic (in the wide sense) random field*) with unknown distribution and unknown correlation function.
- 2 The **lens** system is modeled with the help of the *first-order optics laws*, that is, the lens is merely a *simple centered moving average* filter with an order proportional to the distance of the sensor from the image plane and to the size of the lens aperture.

Problem statement

Assumptions

- 1 The **scene** is a *2D homogenous second-order stationary process* (thus an *ergodic (in the wide sense) random field*) with unknown distribution and unknown correlation function.
- 2 The **lens** system is modeled with the help of the *first-order optics laws*, that is, the lens is merely a *simple centered moving average* filter with an order proportional to the distance of the sensor from the image plane and to the size of the lens aperture.
- 3 The **image sensor** acts as a *block sampler*, that the lens-produced image is *orthogonally projected* onto the space of piecewise constant functions.

AF algorithm foundations

- The AF algorithm is based on the following lemmas:

Lemma

*Under assumptions **1-3**, the variance of the captured image is a **unimodal** function w.r.t. the order of the lens filter and attains its **maximum** value for the in-focus image.*

Lemma

*The variance estimate is **tantamount** to the orthogonal expansion of the image acquired by the sensor.*

AF algorithm routine

- The algorithm seeks the **maximum** of the (noised) **image variance**.

AF algorithm routine

- The algorithm seeks the **maximum** of the (noised) **image variance**.
- The focus function is **global!**

AF algorithm routine

- The algorithm seeks the **maximum** of the (noised) **image variance**.
- The focus functions is **global!**
- Various (bi-)**orthogonal expansions** can be used to estimate the variance:

AF algorithm routine

- The algorithm seeks the **maximum** of the (noised) **image variance**.
- The focus functions is **global!**
- Various (bi-) **orthogonal expansions** can be used to estimate the variance:
 - trigonometric (DCT, *Fourier*, *Hartley*),

AF algorithm routine

- The algorithm seeks the **maximum** of the (noised) **image variance**.
- The focus functions is **global!**
- Various (bi-)**orthogonal expansions** can be used to estimate the variance:
 - trigonometric (DCT, *Fourier*, *Hartley*),
 - *Walsh-Hadamard* (additions and subtractions only!),

AF algorithm routine

- The algorithm seeks the **maximum** of the (noised) **image variance**.
- The focus functions is **global!**
- Various (bi-) **orthogonal expansions** can be used to estimate the variance:
 - trigonometric (DCT, *Fourier*, *Hartley*),
 - *Walsh-Hadamard* (additions and subtractions only!),
 - wavelet, e.g. orthogonal *Haar*, *Daubechies*, or biorthogonal *LeGall (5/3)* and *Cohen-Daubechies-Vial (9/7)*,

AF algorithm routine

- The algorithm seeks the **maximum** of the (noised) **image variance**.
- The focus functions is **global!**
- Various (bi-) **orthogonal expansions** can be used to estimate the variance:
 - trigonometric (DCT, *Fourier*, *Hartley*),
 - *Walsh-Hadamard* (additions and subtractions only!),
 - wavelet, e.g. orthogonal *Haar*, *Daubechies*, or biorthogonal *LeGall (5/3)* and *Cohen-Daubechies-Vial (9/7)*,
 - polynomial, e.g. *Chebyshev*, *Legendre*, *Zernike* (in general—any 'people's polynomials').

Possible AF function computation implementations

The following discrete orthogonal series transforms are available in the transform coders:

- *DCT* transform, (**JPEG**),

Possible AF function computation implementations

The following discrete orthogonal series transforms are available in the transform coders:

- *DCT* transform, (**JPEG**),
- *Haar wavelet* transform (**JPEG 2K (Part II)**), and

Possible AF function computation implementations

The following discrete orthogonal series transforms are available in the transform coders:

- *DCT* transform, (**JPEG**),
- *Haar wavelet* transform (**JPEG 2K (Part II)**), and
- *Walsh-Hadamard* transform (**JPEG XR**).

Maximum search algorithms

We can apply standard algorithms to find the **function's maximum** in a **noisy environment**:

- *Golden section-search* (**GSS**) performed on:

Maximum search algorithms

We can apply standard algorithms to find the **function's maximum** in a **noisy environment**:

- *Golden section-search (GSS)* performed on:
 - averaged image, or

Maximum search algorithms

We can apply standard algorithms to find the **function's maximum** in a **noisy environment**:

- *Golden section-search (GSS)* performed on:
 - averaged image, or
 - smoothed image (e.g. by any *de-noising* routine).

Maximum search algorithms

We can apply standard algorithms to find the **function's maximum** in a **noisy environment**:

- *Golden section-search (GSS)* performed on:
 - averaged image, or
 - smoothed image (e.g. by any *de-noising* routine).
- *Stochastic approximation (SA)* exploiting:

Maximum search algorithms

We can apply standard algorithms to find the **function's maximum** in a **noisy environment**:

- *Golden section-search (GSS)* performed on:
 - averaged image, or
 - smoothed image (e.g. by any *de-noising* routine).
- *Stochastic approximation (SA)* exploiting:
 - smoothed image, or

Maximum search algorithms

We can apply standard algorithms to find the **function's maximum** in a **noisy environment**:

- *Golden section-search (GSS)* performed on:
 - averaged image, or
 - smoothed image (e.g. by any *de-noising* routine).
- *Stochastic approximation (SA)* exploiting:
 - smoothed image, or
 - smoothed focus function (e.g. by using standard *kernel convolutions*).

AF criteria

- 1 **Unimodality** – holds in theory. In practice, unimodality can be lost (aperture control!).

AF criteria

- 1 **Unimodality** – holds in theory. In practice, unimodality can be lost (aperture control!).
- 2 **Accuracy** – corresponds to the resolution of the sensor.

AF criteria

- 1 **Unimodality** – holds in theory. In practice, unimodality can be lost (aperture control!).
- 2 **Accuracy** – corresponds to the resolution of the sensor.
- 3 **Reproducibility** – a sharp top of the extremum holds in theory.

AF criteria

- 1 **Unimodality** – holds in theory. In practice, unimodality can be lost (aperture control!).
- 2 **Accuracy** – corresponds to the resolution of the sensor.
- 3 **Reproducibility** – a sharp top of the extremum holds in theory.
- 4 **Range** – global. The variance of the image does not vanish.

AF criteria

- 1 **Unimodality** – holds in theory. In practice, unimodality can be lost (aperture control!).
- 2 **Accuracy** – corresponds to the resolution of the sensor.
- 3 **Reproducibility** – a sharp top of the extremum holds in theory.
- 4 **Range** – global. The variance of the image does not vanish.
- 5 **General applicability** – a generic class of processes is admitted (ARMA models, *Markov* fields, and piecewise-smooth models).

AF criteria

- 1 **Unimodality** – holds in theory. In practice, unimodality can be lost (aperture control!).
- 2 **Accuracy** – corresponds to the resolution of the sensor.
- 3 **Reproducibility** – a sharp top of the extremum holds in theory.
- 4 **Range** – global. The variance of the image does not vanish.
- 5 **General applicability** – a generic class of processes is admitted (ARMA models, *Markov* fields, and piecewise-smooth models).
- 6 **Insensitivity to other parameters** – particularly robust against the noise.

AF criteria

- 1 **Unimodality** – holds in theory. In practice, unimodality can be lost (aperture control!).
- 2 **Accuracy** – corresponds to the resolution of the sensor.
- 3 **Reproducibility** – a sharp top of the extremum holds in theory.
- 4 **Range** – global. The variance of the image does not vanish.
- 5 **General applicability** – a generic class of processes is admitted (ARMA models, *Markov* fields, and piecewise-smooth models).
- 6 **Insensitivity to other parameters** – particularly robust against the noise.
- 7 **Video signal compatibility** – holds

AF criteria

- 1 **Unimodality** – holds in theory. In practice, unimodality can be lost (aperture control!).
- 2 **Accuracy** – corresponds to the resolution of the sensor.
- 3 **Reproducibility** – a sharp top of the extremum holds in theory.
- 4 **Range** – global. The variance of the image does not vanish.
- 5 **General applicability** – a generic class of processes is admitted (ARMA models, *Markov* fields, and piecewise-smooth models).
- 6 **Insensitivity to other parameters** – particularly robust against the noise.
- 7 **Video signal compatibility** – holds
 - *Block samplers = Foveon X3 sensor.*

AF criteria

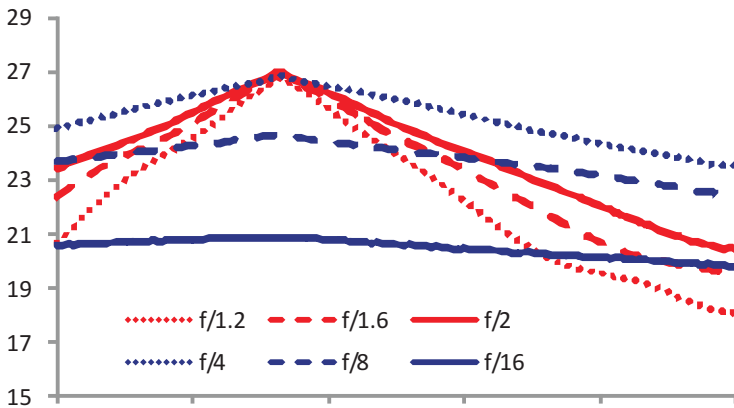
- 1 **Unimodality** – holds in theory. In practice, unimodality can be lost (aperture control!).
- 2 **Accuracy** – corresponds to the resolution of the sensor.
- 3 **Reproducibility** – a sharp top of the extremum holds in theory.
- 4 **Range** – global. The variance of the image does not vanish.
- 5 **General applicability** – a generic class of processes is admitted (ARMA models, *Markov* fields, and piecewise-smooth models).
- 6 **Insensitivity to other parameters** – particularly robust against the noise.
- 7 **Video signal compatibility** – holds
 - *Block samplers* = *Foveon X3* sensor.
 - *Low-pass filter* + *Dirac comb*-based sampler = *Bayer CFA* sensors.

AF criteria

- ① **Unimodality** – holds in theory. In practice, unimodality can be lost (aperture control!).
- ② **Accuracy** – corresponds to the resolution of the sensor.
- ③ **Reproducibility** – a sharp top of the extremum holds in theory.
- ④ **Range** – global. The variance of the image does not vanish.
- ⑤ **General applicability** – a generic class of processes is admitted (ARMA models, *Markov* fields, and piecewise-smooth models).
- ⑥ **Insensitivity to other parameters** – particularly robust against the noise.
- ⑦ **Video signal compatibility** – holds
 - *Block samplers* = *Foveon X3* sensor.
 - *Low-pass filter* + *Dirac comb*-based sampler = *Bayer CFA* sensors.
- ⑧ **Fast implementation** – all algorithms exploit '*fast*' transforms

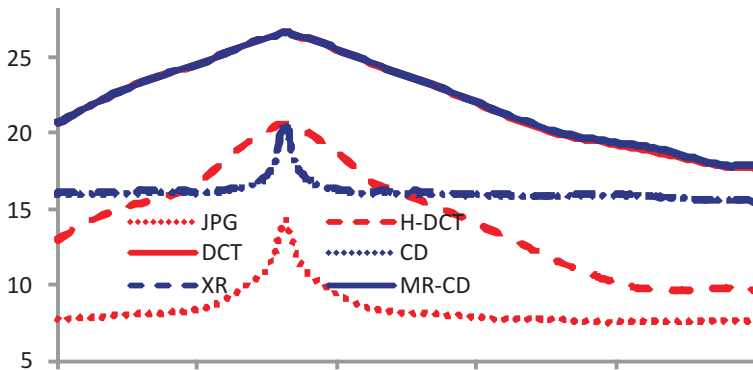
Experimental results

AF against aperture



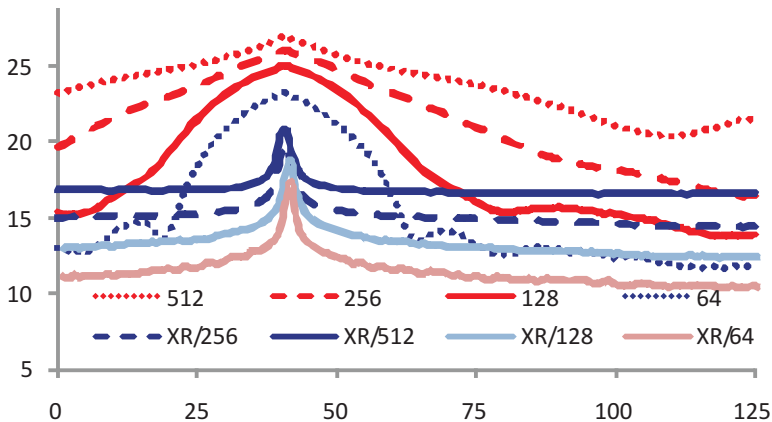
Experimental results

AF against transform coder



Experimental results

AF against image size



Single-Photon AF

Problem

Can the generic algorithm be adapted to the Single-Photon Imagery?

- There are several noise sources

$$Y_{lk} = I_{lk} + \text{Poisson}_{lk} + \text{Gaussian}_{lk} + \text{PRNU}_{lk} \\ + \text{crosstalk}_{lk} + \text{quantization}_{lk} + \dots$$

Single-Photon AF

Problem

Can the generic algorithm be adapted to the Single-Photon Imagery?

- There are several noise sources

$$Y_{lk} = I_{lk} + \text{Poisson}_{lk} + \text{Gaussian}_{lk} + \text{PRNU}_{lk} \\ + \text{crosstalk}_{lk} + \text{quantization}_{lk} + \dots$$

- Some of them are **pure** (*random*) noises, e.g.:

Single-Photon AF

Problem

Can the generic algorithm be adapted to the Single-Photon Imagery?

- There are several noise sources

$$Y_{lk} = I_{lk} + \text{Poisson}_{lk} + \text{Gaussian}_{lk} + \text{PRNU}_{lk} \\ + \text{crosstalk}_{lk} + \text{quantization}_{lk} + \dots$$

- Some of them are **pure** (*random*) noises, e.g.:
 - Shot noise (of *Poisson* distribution),

Single-Photon AF

Problem

Can the generic algorithm be adapted to the Single-Photon Imagery?

- There are several noise sources

$$Y_{lk} = I_{lk} + \text{Poisson}_{lk} + \text{Gaussian}_{lk} + \text{PRNU}_{lk} \\ + \text{crosstalk}_{lk} + \text{quantization}_{lk} + \dots$$

- Some of them are **pure** (*random*) noises, e.g.:
 - Shot noise (of *Poisson* distribution),
 - Thermal noise (of *Gaussian* distribution).

Single-Photon AF

Problem

Can the generic algorithm be adapted to the Single-Photon Imagery?

- There are several noise sources

$$Y_{lk} = I_{lk} + \text{Poisson}_{lk} + \text{Gaussian}_{lk} + \text{PRNU}_{lk} \\ + \text{crosstalk}_{lk} + \text{quantization}_{lk} + \dots$$

- Some of them are **pure** (*random*) noises, e.g.:
 - Shot noise (of *Poisson* distribution),
 - Thermal noise (of *Gaussian* distribution).
- Some are random but fixed, e.g. *Photo-Response Non-uniformity*

Single-Photon AF

Problem

Can the generic algorithm be adapted to the Single-Photon Imagery?

- There are several noise sources

$$Y_{lk} = I_{lk} + \text{Poisson}_{lk} + \text{Gaussian}_{lk} + \text{PRNU}_{lk} \\ + \text{crosstalk}_{lk} + \text{quantization}_{lk} + \dots$$

- Some of them are **pure** (*random*) noises, e.g.:
 - Shot noise (of *Poisson* distribution),
 - Thermal noise (of *Gaussian* distribution).
- Some are random but fixed, e.g. *Photo-Response Non-uniformity*
- For the others, it is just convenient to model them as a noise. . .

Unbalanced Haar Transform

- In order to model **PRNU** for each pixel we use the *Unbalanced Haar Transform* instead of the classic one

Unbalanced Haar Transform

- In order to model **PRNU** for each pixel we use the *Unbalanced Haar Transform* instead of the classic one
- The basic transform step becomes a little bit more complicated than

$$\hat{\alpha}_{m-1,n} = \frac{\sqrt{2}}{2} \hat{\alpha}_{m,2n} + \frac{\sqrt{2}}{2} \hat{\alpha}_{m,2n+1}$$

vs.

$$\bar{\alpha}_{m-1,n} = \sqrt{\frac{I_{m,2n}}{I_{m-1,n}}} \bar{\alpha}_{m,2n} + \sqrt{\frac{I_{m,2n+1}}{I_{m-1,n}}} \bar{\alpha}_{m,2n+1},$$

with $I_{m-1,n} = I_{m,2n} + I_{m,2n+1}$ (where $I_{m,2n+1}, I_{m-1,n}$ are the *non-uniformity indices*).

Unbalanced Haar Transform

Application of **UHT** has some advantages:

- Can be *plugged-in* into the standard AF algorithm.

Unbalanced Haar Transform

Application of **UHT** has some advantages:

- Can be *plugged-in* into the standard AF algorithm.
- Remains fast, *i.e.* linear with number of pixels.

Unbalanced Haar Transform

Application of **UHT** has some advantages:

- Can be *plugged-in* into the standard AF algorithm.
- Remains fast, *i.e.* linear with number of pixels.
- Allows for *in situ* image denoising.

Unbalanced Haar Transform

Application of **UHT** has some advantages:

- Can be *plugged-in* into the standard AF algorithm.
- Remains fast, *i.e.* linear with number of pixels.
- Allows for *in situ* image denoising.
- Can be computed in parallel.

Unbalanced Haar Transform

Application of **UHT** has some advantages:

- Can be *plugged-in* into the standard AF algorithm.
- Remains fast, *i.e.* linear with number of pixels.
- Allows for *in situ* image denoising.
- Can be computed in parallel.
- But... requires computing **square roots**...

Single-photon AF

We have now

$$Y_{lk} \sim I_{lk} + \text{Poisson}_{lk} + \text{Gaussian}_{lk}.$$

- The *single-photon-denoising* algorithm has two simple steps:

Single-photon AF

We have now

$$Y_{lk} \sim I_{lk} + \text{Poisson}_{lk} + \text{Gaussian}_{lk}.$$

- The *single-photon-denoising* algorithm has two simple steps:
 - 'removal' of the Gaussian part by UHT transform with a thresholding. Then

$$Y_{lk} \sim I_{lk} + \text{Poisson}_{lk}$$

Single-photon AF

We have now

$$Y_{lk} \sim I_{lk} + \text{Poisson}_{lk} + \text{Gaussian}_{lk}.$$

- The *single-photon-denoising* algorithm has two simple steps:
 - 'removal' of the Gaussian part by UHT transform with a thresholding. Then

$$Y_{lk} \sim I_{lk} + \text{Poisson}_{lk}$$

- application of the *Anscombe* transform and repeating the previous step (*i.e.* the UHT transform with a thresholding). Thus

$$Y_{lk} \sim I_{lk}$$

Single-photon AF

We have now

$$Y_{lk} \sim I_{lk} + \text{Poisson}_{lk} + \text{Gaussian}_{lk}.$$

- The *single-photon-denoising* algorithm has two simple steps:
 - 'removal' of the Gaussian part by UHT transform with a thresholding. Then

$$Y_{lk} \sim I_{lk} + \text{Poisson}_{lk}$$

- application of the *Anscombe* transform and repeating the previous step (*i.e.* the UHT transform with a thresholding). Thus

$$Y_{lk} \sim I_{lk}$$

- The rest of the **AF** algorithm remains unchanged.

Final conclusions

The proposed **AF algorithm**:

- Is *robust* against a noise.

Final conclusions

The proposed **AF algorithm**:

- Is *robust* against a noise.
- Works with a standard (cheap) equipment.

Final conclusions

The proposed **AF algorithm**:

- Is *robust* against a noise.
- Works with a standard (cheap) equipment.
- Can *reuse* existing IPs.

Final conclusions

The proposed **AF algorithm**:

- Is *robust* against a noise.
- Works with a standard (cheap) equipment.
- Can *reuse* existing IPs.
- Can *effectively* be implemented (*e.g. in situ*).

Example

The demonstration movie can be found at:
<http://diuna.iiar.pwr.wroc.pl/sliwinski/gss-af.avi>